

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Patrick Hearing *et al.*

Serial No.: not yet assigned

Filed: 2/12/2001

Entitled:

**HYBRID ADENOVIRUS/ADENO-  
ASSOCIATED VIRUS VECTORS AND  
METHODS OF USE THEREOF**

Group No.: not yet assigned

Examiner: not yet assigned

**CERTIFICATE RE: SEQUENCE LISTING**


Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EL 476 361 610 US**, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: February 12, 2001

By:

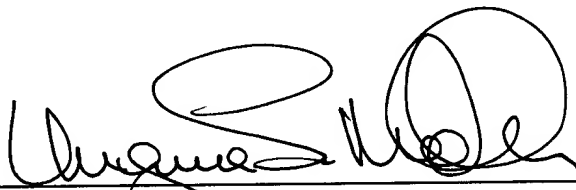
  
Anne M. Neiswander

Sir or Madam:

I hereby state that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

Dated: February 12, 2001

By:

  
Virginia S. Medlen  
Registration No. 32,050

Please direct all communication to:

**Peter G. Carroll**  
Registration No. 32,837  
MEDLEN & CARROLL, LLP  
220 Montgomery Street, Suite 2200  
San Francisco, California 94104  
415.705.8410

**CRF Problem Report**

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/782,378

Filing Date: 2-12-01

Date Processed by STIC: 3-8-01

STIC Contact: Mark Spencer, 703-308-4212

**Nature of Problem:**

The CRF (was)

☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)

☐ Blank (no files on CRF) (see attached)

☐ Empty file (filename present, but no bytes in file) (see attached)

☐ Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.

☐ Not saved in ASCII text

☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should only be the Sequence Listing.

☐ Did not contain a Sequence Listing. (see attached sample)

☐ Other: \_\_\_\_\_

**PLEASE USE THE CHECKER VERSION 3.0 PROGRAM TO REDUCE ERRORS.  
SEE BELOW FOR DETAILS:**

**Checker Version 3.0**

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

**Checker Version 3.0 can be down loaded from the USPTO website at the following address:**  
**<http://www.uspto.gov/web/offices/pac/checker>**

69/782,378

A:\>DIR

Does Not Comply  
Corrected Diskette Needed

Volume in drive A has no label  
Directory of A:\

04558S~1	TXT	459,119	02-12-01	3:35p	04558.ST25.txt
	1 file(s)		459,119 bytes		
	0 dir(s)		998,400 bytes free		

A:\>TYPE 04558.ST25.TXT|MORE

Read fault error reading drive A  
Abort, Retry, Fail?R

Read fault error reading drive A  
Abort, Retry, Fail?